

Fig. 5. Frequency-dependent characteristics of normalized longitudinal current distributions ( $\epsilon = 8$ ,  $w/h = 1$ ). --- Green's function technique [11]; — present method.

The shifts of the current distributions with respect to frequencies for  $h/\lambda_0 \leq 0.2$  shown in Figs. 4 and 5 are similar to those revealed by Shih *et al.* [13], although for cases where  $\epsilon$  and  $w/h$  have values different from those of the present article.

#### IV. CONCLUSION

The spectral-domain approach has been used to obtain the frequency-dependent characteristics of current distributions and the effective permittivities of open microstrip lines. The functions  $U_{2n}(2x/w)$  and  $T_{2(n-1)}(2x/w)/\sqrt{1-(2x/w)^2}$  have been adopted as basis functions;  $T_n(x)$  and  $U_n(x)$  are Chebyshev polynomials of the first and second kinds, respectively. Numerical results reported in this article have been compared with other available data.

#### REFERENCES

- [1] E. J. Denlinger, "A frequency dependent solution for microstrip transmission lines," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-19, pp. 30-39, Jan. 1971.
- [2] Y. Fujiki, Y. Hayashi, and M. Suzuki, "Analysis of strip transmission lines by iteration method," *J. Inst. Electron. Commun. Eng. Japan*, vol. 55-B, pp. 212-219, May 1972 (in Japanese); *Electron. Commun. Japan*, vol. 55, pp. 74-80, May 1972.
- [3] G. Kowalski and R. Pregla, "Dispersion characteristics of single and coupled microstrips," *Arch. Elek. Übertragung.*, vol. 26, pp. 276-280, June 1972.
- [4] T. Itoh and R. Mittra, "Spectral-domain approach for calculating the dispersion characteristics of microstrip lines," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-21, pp. 496-499, July 1973.
- [5] E. F. Kuester and D. C. Chang, "An appraisal of methods for computation of the dispersion characteristics of open microstrip," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-27, pp. 691-694, July 1979.
- [6] T. Kitazawa and Y. Hayashi, "Propagation characteristics of striplines with multilayered anisotropic media," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-31, pp. 429-433, June 1983.
- [7] N. G. Alexopoulos, "Integrated-circuit structures on anisotropic substrates," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-33, pp. 847-881, Oct. 1985.
- [8] M. Kobayashi and F. Ando, "Dispersion characteristics of open microstrip lines," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-35, pp. 101-105, Feb. 1987.
- [9] B. M. Sherril and N. G. Alexopoulos, "The method of lines applied to a finline/strip configuration on an anisotropic substrates," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-35, pp. 568-574, June 1987.

- [10] B. E. Kretch and R. E. Collin, "Microstrip dispersion including anisotropic substrates," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-35, pp. 710-718, Aug. 1987.
- [11] M. Kobayashi, "Longitudinal and transverse current distributions on microstriplines and their closed-form expression," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-33, pp. 784-788, Sept. 1985.
- [12] M. Kobayashi and H. Momoi, "Longitudinal and transverse current distributions on coupled microstrip lines," *IEEE Trans. Microwave Theory Tech.*, vol. 36, pp. 588-593, Mar. 1988.
- [13] C. Shih, R. B. Wu, S. K. Jeng, and C. H. Chen, "A full-wave analysis of microstrip lines by variational conformal mapping technique," *IEEE Trans. Microwave Theory Tech.*, vol. 36, pp. 576-581, Mar. 1988.
- [14] N. Faché and D. D. Zutter, "Rigorous full-wave space-domain solution for dispersive microstrip lines," *IEEE Trans. Microwave Theory Tech.*, vol. 36, pp. 731-737, Apr. 1988.
- [15] M. Kobayashi, "Analysis of the microstrip and the electrooptic light modulator," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-26, pp. 119-126, Feb. 1978.

#### Shift of the Complex Resonance Frequency of a Dielectric-Loaded Cavity Produced by Small Sample Insertion Holes

SYLVAIN GAUTHIER, LOUIS MARCHILDON  
AND CEVDET AKYEL

**Abstract** — The presence of small sample insertion holes in a cylindrical cavity produces a shift in the complex resonance frequency of the cavity. A mathematical model is proposed to compute the shift when the cavity oscillates in an axially symmetric  $TM_{0mp}$  mode. The treatment applies to samples with arbitrary complex permittivity. The model is compared with other treatments and checked against measured results.

#### I. INTRODUCTION

Insertion holes in resonant cavities produce changes in both the real and imaginary parts of the complex resonance frequency, which may amount to a few percent and are significant in high-precision measurements. Several attempts have been made to quantify hole effects. Estin and Bussey [1] and Meyer [2] have estimated the change in the real part of the resonance frequency for some simple  $TM_{0mp}$  modes. Their main assumptions were that the field is not perturbed in the main body of the cavity and that in the tubes it is well represented by the first evanescent TM mode. More recently, Li and Bosisio [3] have significantly improved the treatment by allowing for a large number of modes in the tubes. They have obtained correction terms due to insertion holes for both the real part of the resonance frequency and the quality factor of the cavity.

The present paper is an attempt to compute the shift of the complex resonance frequency of a cavity produced by small sample insertion holes. It was largely inspired by the work of Li and Bosisio, which it tries to improve in two different ways. First, we take fully into account the fact that, for lossy samples, the phasors and the wavenumbers in the tubes are genuinely complex. Second, we carry a larger fraction of the calculations analytically. The resulting formulas are less susceptible to numerical errors.

Manuscript received November 6, 1987; revised October 24, 1988.  
S. Gauthier and L. Marchildon are with the Département de physique, Université du Québec, Trois-Rivières, Que., Canada G9A 5H7.

C. Akyel is with the Laboratoire d'hyperfréquences, département de génie électrique, Ecole Polytechnique, P.O. Box 6079 Succ. A, Montréal, Que., Canada H3C 3A7.

IEEE Log Number 8826051.

The field distribution in the tubes is obtained in Section II. In Section III, we derive correction terms to the complex resonance frequency of the cavity due to the presence of the holes. Finally, results are checked against experimental measurements and discussed in Section IV.

## II. FIELD DISTRIBUTION

Fig. 1 illustrates a cylindrical cavity enclosed in perfectly conducting walls. The cavity is symmetrical about the  $z$  axis and the plane  $z = -h/2$ . Its main body has radius  $R_{N+1}$  and height  $h$ . Two smaller tubelike end pieces have radii  $R_N$ . The cavity is filled with concentric dielectric samples of radii  $R_1, R_2, \dots, R_{N+1}$  and complex permittivity  $\epsilon_1, \epsilon_2, \dots, \epsilon_{N+1}$ . Usually  $\epsilon_{N+1} = \epsilon_0$ , the permittivity of free space. We assume that the samples' permeability is equal to  $\mu_0$  throughout.

In experimental setups, where the cavity is used to make permittivity measurements,  $N$  rarely exceeds 3. Furthermore,  $R_N \ll R_{N+1}$ . This implies that the fields in the tubes decrease exponentially for all frequencies of interest. The height of the tubes is much larger than  $R_N$  and can therefore be taken equal to infinity.

With no end pieces, the cavity sustains TE and TM modes whose mathematical expression is known exactly [4]. We assume the cavity has been so excited that the electromagnetic field in its main body is well represented by a single  $\text{TM}_{0mp}$  mode. By continuity, the fields in the end pieces will also be transverse magnetic and have cylindrical symmetry. In the upper end piece, the most general exponentially decreasing form for these fields is given by

$$E_z = \sum_{\nu=1}^{\infty} A_{\nu} Z_0(k_{\nu}r) \exp(-\gamma_{\nu}z) \quad (1)$$

$$E_r = \sum_{\nu=1}^{\infty} A_{\nu} \frac{\gamma_{\nu}}{k_{\nu}} Z_1(k_{\nu}r) \exp(-\gamma_{\nu}z) \quad (2)$$

$$H_{\phi} = \sum_{\nu=1}^{\infty} A_{\nu} \frac{j\omega\epsilon}{k_{\nu}} Z_1(k_{\nu}r) \exp(-\gamma_{\nu}z) \quad (3)$$

$$E_{\phi} = H_z = H_r = 0. \quad (4)$$

Each  $A_{\nu}$  is a constant. The parameters  $k_{\nu}$  and  $\gamma_{\nu}$  satisfy the relation

$$k_{\nu}^2 = \gamma_{\nu}^2 + \omega^2 \mu_0 \epsilon \quad (5)$$

where  $\omega$  is the angular frequency of the cavity. The parameter  $\gamma_{\nu}$  does not vary from sample to sample but, in general, the parameter  $k_{\nu}$  does through its dependence on  $\epsilon$ . The function  $Z_0$  ( $Z_1$ ) is a linear combination of Bessel functions  $J_0$  and  $Y_0$  ( $J_1$  and  $Y_1$ ) of the same argument. We have  $-Z_1 = Z_0'$ . For  $r < R_1$ ,  $Z_0$  can be set equal to  $J_0$ . Where two samples meet,  $Z_0$  and  $(\epsilon/k_{\nu})Z_1$  are continuous. The circular walls make  $Z_0(k_{\nu}R_N)$  vanish.

We shall now make an important approximation. We assume that the radius of the tubes ( $R_N$ ) is much smaller than the linear dimensions of the main body of the cavity ( $R_{N+1}$  and  $h$ ). We also assume that the indices  $p$  and  $m$  of the  $\text{TM}_{0mp}$  mode are not too large. Since  $k_{\nu}R_N$  is a zero of  $Z_0$ ,  $|k_{\nu}R_N| \gtrsim 1$ , so that  $k_{\nu}^2$  is at least of order  $(R_N)^{-2}$ . On the other hand,  $\omega^2 \mu_0 \epsilon_{N+1}$  is of order  $(R_{N+1})^{-2}$  (or  $h^{-2}$ ). From (5), we thus make the approximation  $\gamma_{\nu} = k_{\nu}$ , for every  $\nu$ , in (1)–(3).

The coefficients  $A_{\nu}$  in (1)–(3) are still not determined. Suppose that a component of the field (say  $E_z$ ) is known on the surface

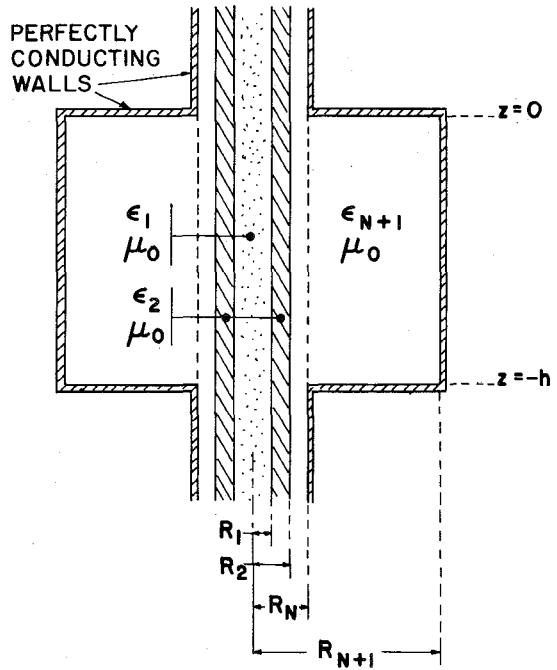


Fig. 1. The cylindrical cavity with concentric dielectric samples and thin, infinitely long insertion tubes.

$z = 0$ , that is, on the interface between the tube and the cavity. The series in (1) is a Fourier–Bessel series and hence the coefficients  $A_{\nu}$  can be expressed as [5], [6]

$$A_{\nu} = \frac{\int_0^{R_N} r \epsilon Z_0(k_{\nu}r) E_z(z=0) dr}{\int_0^{R_N} r \epsilon Z_0(k_{\nu}r) Z_0(k_{\nu}r) dr} \quad (6)$$

Knowledge of  $E_z(z=0)$  thus completely determines all the  $A_{\nu}$ 's.

As an illustration, we will assume that  $E_z$  is not perturbed, on the plane  $z = 0$ , by the presence of the protruding end piece. (This is equivalent to the assumption, made in [3], that  $H_{\phi}$  is not perturbed.) In the plane  $z = 0$ ,  $E_z$  is given for  $r < R_N$  by  $E_z(z=0) = A$ , where  $A$  is a constant and terms of order  $\epsilon R_N^2 / \epsilon_{N+1} R_{N+1}^2$  have been neglected. The coefficients  $A_{\nu}$  can now be determined from (6). We easily get

$$A_{\nu} = \frac{A \epsilon_N R_N Z_1(k_{\nu}R_N)}{k_{\nu} \int_0^{R_N} r \epsilon [Z_0(k_{\nu}r)]^2 dr} \quad (7)$$

The integral in the denominator can be computed exactly from well-known properties of Bessel functions [5], [6]. The result is

$$\begin{aligned} & \int_0^{R_N} r \epsilon [Z_0(k_{\nu}r)]^2 dr \\ &= \frac{\epsilon_N R_N^2}{2} [Z_1(k_{\nu}R_N)]^2 \\ & - \sum_{i=1}^{N-1} \frac{R_i^2}{2} \left[ \left( \frac{1}{\epsilon_{i+1}} - \frac{1}{\epsilon_i} \right) [\epsilon_i Z_1(k_{\nu}R_i)]^2 \right. \\ & \left. + (\epsilon_{i+1} - \epsilon_i) [Z_0(k_{\nu}R_i)]^2 \right]. \end{aligned} \quad (8)$$

To interpret (8), recall from Fig. 1 that  $\epsilon_i$  is the permittivity in the region  $R_{i-1} < r < R_i$ . In the product  $\epsilon_i Z_1(k_{\nu}R_i)$ , the limit  $r \rightarrow R_i$  should be taken from the region of permittivity  $\epsilon_i$ .

### III. COMPLEX RESONANCE FREQUENCY

The complex resonance frequency  $\omega$  of any cavity can be written as

$$\omega = \omega_r(1 + j/2Q) \quad (9)$$

where  $\omega_r$  is the real part of  $\omega$  and  $Q$  is the quality factor. For the ideal cavity, the resonance frequency  $\omega$  of each  $TM_{0mp}$  mode is known exactly. For the cavity with thin, infinitely long tubes, depicted in Fig. 1,  $\omega$  is shifted by a small quantity  $\delta\omega$ . Assuming that the change in the fields is negligible in the main body of the cavity, we can use perturbation methods [4] and compute  $\delta\omega$  as

$$\begin{aligned} \frac{\delta\omega}{\omega} &= \frac{\delta\omega_r}{\omega_r} + \frac{j}{2} \delta \left( \frac{1}{Q} \right) \\ &= \frac{1}{W_c} \iint_{V_{\text{tube}}} \epsilon^* \mathbf{E} \cdot \mathbf{E}^* dV. \end{aligned} \quad (10)$$

Here the integral is on one tube only and  $W_c$  is the total energy in the cavity.

We now substitute (1) and (2) (with  $\gamma_\nu = k_\nu$ ) in the numerator of (10). The integral can be evaluated exactly through the use of well-known properties of Bessel functions [5], [6]. The result can be written as

$$\frac{\delta\omega}{\omega} = \frac{1}{W_c} \sum_{\nu=1}^{\infty} \sum_{\mu=1}^{\infty} A_\nu A_\mu^* M_{\mu\nu} \quad (11)$$

where

$$M_{\mu\nu} = \frac{2\pi}{(k_\mu^*)^2 - (k_\nu)^2} \sum_{i=1}^{N-1} R_i \left( \frac{\epsilon_{i+1}^*}{\epsilon_{i+1}} - \frac{\epsilon_i^*}{\epsilon_i} \right) \cdot [Z_0(k_\mu R_i)]^* [\epsilon_i Z_1(k_\nu R_i)]. \quad (12)$$

This expression is indeterminate if the permittivity is everywhere real. In that case we find that  $M_{\mu\nu} = 0$  if  $\nu \neq \mu$  and

$$M_{\mu\nu} = \frac{2\pi}{k_\nu} \int_0^{R_N} r \epsilon [Z_0(k_\nu r)]^2 dr. \quad (13)$$

This integral is calculated in (8).

### IV. RESULTS AND DISCUSSION

When a resonant cavity is used to make complex permittivity measurements, the complex frequency differences are the parameters whose accurate evaluation is particularly important. A cavity with insertion holes, such as the one depicted in Fig. 1, produces a spurious frequency shift both when empty and when containing dielectric material. It is the difference in these shifts that is especially relevant to permittivity measurements.

To illustrate hole effects, a configuration with three distinct regions will be considered. A sample of complex permittivity  $\epsilon_1$  and radius  $R_1$  is contained in a capillary tube of real permittivity  $\epsilon_2 = 4.75$  (a typical value for Pyrex glass) and radius  $R_2$ . The radius of the insertion holes is  $R_3$ , and the permittivity outside the capillary is equal to  $\epsilon_0$ . Let  $(\delta\omega)_{\text{sample}}$  be the complex frequency shift due to the holes, which is calculated by (11), for the configuration just described. Let  $(\delta\omega)_{\text{tube}}$  be the shift for the configuration where only the capillary tube is present (that is, the sample is removed). Finally, let  $\Delta\omega$  be the difference between the resonant frequencies of an ideal cavity with and without sample.

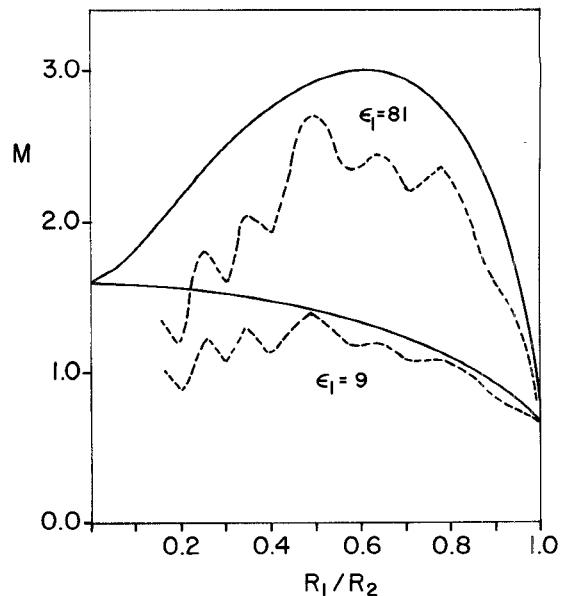


Fig. 2. The coefficient  $M$  versus  $R_1/R_2$  for  $\epsilon_1 = 9$  and  $\epsilon_1 = 81$  ( $\epsilon_2 = 4.75$ ,  $R_2 = R_3 = 1$  mm). Broken lines: ref. [3]; solid lines: present analysis

TABLE I  
VALUES OF  $\epsilon''$  FOR SEVERAL MATERIALS, CORRECTED AS IN [3],  
[7] AND HEREIN ( $R_3 = 1$  mm,  $f = 2.23$  GHz,  $T = 22^\circ\text{C}$ )

Material	$R_1$ (mm)	$R_2$ (mm)	$\epsilon''_{\text{unc}}$ [3]	$\epsilon''_{\text{cor}}$ [7]	$\epsilon''_{\text{cor}}$ (here)	Reference	Reference value
Methanol	.304	.749	13.4	13.1	13.0	12.5-13.5	[8]
t-Propanol	.305	.756	3.66	3.63	3.58	1.45-2.81	[9]
t-Butanol	.691	.959	2.02	1.96	1.99	0.87-1.64	[9]
Water	.304	.700	8.80	8.62	8.41	8-12.5	[9, 10, 4]
	.432	.708	8.19	8.03	7.73		

We define

$$M = \frac{h}{R_3} \frac{\text{Re}(\delta\omega)_{\text{tube}} - \text{Re}(\delta\omega)_{\text{sample}}}{\text{Re}(\Delta\omega)}. \quad (14)$$

$M$  represents the relative error on the real part of the sample's permittivity  $\epsilon_1$  produced by the insertion holes. It is plotted in Fig. 2 for two values of  $\epsilon_1$ , together with similar curves taken from [3]. We believe the solid lines are smoother because the more complete analytical treatment has eliminated numerical instabilities.

Corrections to the imaginary part of the permittivity are illustrated in Table I. Experimental results presented in [7] are analyzed according to [3] and to the methods presented here, and compared with reference values.

Although our computation of the field distribution in the insertion tubes rests on the assumption that  $E_z$  is not changed at the interface  $z = 0$ , it can be adapted to other choices of  $E_z$  ( $z = 0$ ). The coefficients  $A_\nu$  are then given by (6). In fact, the

value of the fields in the vicinity of the insertion tubes, and in particular of  $E_z$  at the interface, can be determined by a computer simulation of the field distribution in and near the tube. Work in that direction is in progress.

#### REFERENCES

- [1] A. J. Estin and H. E. Bussey, "Errors in dielectric measurements due to a sample insertion hole in a cavity," *IRE Trans. Microwave Theory Tech.*, vol. MTT-8, pp. 650-653, 1960.
- [2] W. Meyer, "Dielectric measurements on polymeric materials by using superconducting microwave resonators," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-25, pp. 1092-1099, 1977.
- [3] S. Li and R. G. Bosisio, "Composite hole conditions on complex permittivity measurements using microwave cavity perturbation techniques," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-30, pp. 100-103, 1982.
- [4] R. F. Harrington, *Time-Harmonic Electromagnetic Fields* New York: McGraw-Hill, 1961
- [5] N. N. Lebedev, *Special Functions and Their Applications* New York: Dover, 1972.
- [6] E. T. Whittaker and G. N. Watson, *A Course of Modern Analysis*. London: Cambridge, 1927.
- [7] S. Li, C. Akyel, and R. G. Bosisio, "Precise calculations and measurements on the complex dielectric constant of lossy materials using  $TM_{010}$  cavity perturbation techniques," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-29, pp. 1041-1048, 1981
- [8] H. E. Bussey, "Dielectric measurements in a shielded open circuit coaxial line," *IEEE Trans. Instrum. Meas.*, vol. IM-29, pp. 120-124, 1980
- [9] B. Terselius and B. Ranby, "Cavity perturbation measurements of dielectric properties of vulcanizing rubber and polyethylene compounds," *J. Microwave Power*, vol. 13, pp. 327-334, 1978.
- [10] E. C. Burdette, F. L. Cain, and J. Seals, "In vivo probe measurement technique for determining dielectric properties at VHF through microwave frequencies," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-28, pp. 414-427, 1980.